

17698 U.S. PTO
07/18/03

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| UTILITY FILING FEE TRANSMITTAL | Attorney Docket No. 1070803 |
| | Inventors: Ivan Osorio et al |
| [X] Applicant claims small entity status. | Title: UNITIZED ELECTRODE WITH THREE-DIMENSIONAL, MULTISITE, MULTIMODAL CAPABILITIES FOR DETECTION AND CONTROL OF BRAIN STATE CHANGES |
| Total Amount of Payment: \$ <u>585.00</u> | |

19704 U.S. PTO
10/622238
07/18/03

| METHOD OF PAYMENT | FEE CALCULATION (continued) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|--|---|--------------|--------------|-----------------------------------|------------------|-----------------|----------|----------|----------|----------|------|-----|------|-----|------------------------|-----------|---------------------|------|----|-----------------------------------|---------------------|------------------|---|--------------|------------------|--------------|--|-----------------|----------|----------|----------|----------|----------|-------|--|--|--|--|--|---------------------|--|--|--|--|----------------|
| <p>[X] Check No. <u>1828</u></p> <p>The Commissioner is authorized to credit any overpayments or charge any additional fee(s) during the pendency of this application to Deposit Account No. 50-0961</p> | <p>2. EXTRA CLAIM FEES</p> <p style="text-align: right;"><u>Fee Paid</u></p> <p>Total Claims <u>34</u> - 20 = <u>14</u> X 9 = <u>126.00</u></p> <p>Independent Claims <u>5</u> - 3 = <u>2</u> X 42 = <u>84.00</u></p> <table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>1202</td> <td>18</td> <td>2202</td> <td>9</td> <td>Claims in excess of 20</td> </tr> <tr> <td>1201</td> <td>84</td> <td>2201</td> <td>42</td> <td>Independent claims in excess of 3</td> </tr> <tr> <td colspan="4">SUBTOTAL (2)</td> <td>\$ 210.00</td> </tr> </tbody> </table> | Large Entity | | Small Entity | | Fee Description | Fee Code | Fee (\$) | Fee Code | Fee (\$) | 1202 | 18 | 2202 | 9 | Claims in excess of 20 | 1201 | 84 | 2201 | 42 | Independent claims in excess of 3 | SUBTOTAL (2) | | | | \$ 210.00 | | | | | | | | | | | | | | | | | | | | |
| Large Entity | | Small Entity | | Fee Description | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1201 | 84 | 2201 | 42 | Independent claims in excess of 3 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SUBTOTAL (2) | | | | \$ 210.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>FEE CALCULATION</p> <p>1. BASIC FILING FEE</p> <table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>1001</td> <td>740</td> <td>2001</td> <td>370</td> <td>Utility Filing Fee</td> <td>\$ 375.00</td> </tr> <tr> <td colspan="5">SUBTOTAL (1)</td> <td>\$ 375.00</td> </tr> </tbody> </table> | Large Entity | | Small Entity | | Fee Description | Fee Paid | Fee Code | Fee (\$) | Fee Code | Fee (\$) | 1001 | 740 | 2001 | 370 | Utility Filing Fee | \$ 375.00 | SUBTOTAL (1) | | | | | \$ 375.00 | <p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td colspan="6">None.</td> </tr> <tr> <td colspan="5">SUBTOTAL (3)</td> <td>\$ 0.00</td> </tr> </tbody> </table> | Large Entity | | Small Entity | | Fee Description | Fee Paid | Fee Code | Fee (\$) | Fee Code | Fee (\$) | None. | | | | | | SUBTOTAL (3) | | | | | \$ 0.00 |
| Large Entity | | Small Entity | | Fee Description | | | Fee Paid | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1001 | 740 | 2001 | 370 | Utility Filing Fee | \$ 375.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SUBTOTAL (1) | | | | | \$ 375.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Large Entity | | Small Entity | | Fee Description | Fee Paid | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| None. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SUBTOTAL (3) | | | | | \$ 0.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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|--|----------------------------|--------------------------|
| SUBMITTED BY: | | |
| Name: Donald R. Schoonover | Reg. No. 34,924 | Telephone: (417)724-2188 |
| Signature: <i>Donald R. Schoonover</i> | Date: <i>July 18, 2003</i> | |

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Label No. ER 044751286 US, Post Office to Addressee, in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on

July 18, 2003.

Donald R. Schoonover
Donald R. Schoonover

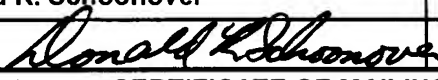
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| UTILITY PATENT APPLICATION TRANSMITTAL | Attorney Docket No. 1070803 |
| | Inventors: Ivan Osorio, 4005 W. 124th Street, Leawood, KS 66209 and Naresh C. Bhavaraju, 4700 W. 27th Street, LL5, Lawrence, KS 66047 |
| | Title: UNITIZED ELECTRODE WITH THREE- DIMENSIONAL, MULTISITE, MULTIMODAL CAPABILITIES FOR DETECTION AND CONTROL OF BRAIN STATE CHANGES |

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| To: | Mail Stop Patent Application Assistant Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 |
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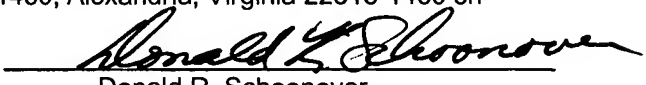
| APPLICATION ELEMENTS | ACCOMPANYING APPLICATION PARTS |
|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form PTO/SB/17 (In duplicate) | <input checked="" type="checkbox"/> Check No. <u>1828</u> in the amount of \$ <u>585.00</u> . |
| <input checked="" type="checkbox"/> Applicant claims small entity status. | <input checked="" type="checkbox"/> Nonpublication Request Form PTO/SB/35 |
| <input checked="" type="checkbox"/> Specification, claims & abstract (pp.) | <input checked="" type="checkbox"/> Return Receipt Postcard. |
| <input checked="" type="checkbox"/> Dwgs: Figs. 1 , 2A-2C, 3, 4A-4B, 5, 6A-6F, 7, 8A-8D and 9 (6 pp.) | |
| <input checked="" type="checkbox"/> Combined Declaration and Power of Atty | |

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| Name: Donald R. Schoonover | Attorney Registration No. 34,924 |
| Signature:  | Date: <u>July 18, 2003</u> |

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Donald R. Schoonover

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|---|--|----------------------|--|
| NONPUBLICATION REQUEST UNDER 35 U.S.C. § 122(b)(2)(B)(i) | | First Named Inventor | Ivan Osorio et al |
| | | Title | UNITIZED ELECTRODE WITH THREE-DIMENSIONAL, MULTISITE, MULTIMODAL CAPABILITIES FOR DETECTION AND CONTROL OF BRAIN STATE CHANGES |
| | | Atty Docket Number | 1070803 |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

July 18, 2003
Date

X 
Donald R. Schoonover, Reg. No. 34,924

This request must be signed in compliance with 37 C.F.R. § 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. § 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. § 122(b)(2)(B)(iii)).**